seegman

Anti-Money Laundering (AML) Reports for Corporate and Investment Transactions

Corporate Governance

At **Seegman**, we help investors, potential investors and parties involved in corporate transactions to **anticipate and manage AML risks** before formalising a transaction. Through structured and documented analysis, we verify that the individuals or legal entities involved and the documentation **provided fulfil applicable regulations** and the client's **internal procedures**, reinforcing the security and traceability of the transaction.

Service overview

AML reporting services are tailored to corporate and investment transactions, with the aim of pre-screening the parties involved (individuals or legal entities) and leaving documentary evidence of the analysis carried out, facilitating compliance and decision-making with clear and orderly information.

When does it add the most value?

This service is particularly useful in:

- **Funding** rounds with multiple investors.
- **The entry of new shareholders** into special purpose vehicles (SPVs) or similar structures.
- **Projects with foreign investors** or complex corporate structures.
- **Transactions** that require (or may require) **subsequent interaction with financial institutions.**
- Any transaction in which the aim is to **strengthen internal AML analysis** and maintain **robust documentary traceability.**

Scope of the service

We carry out the **review, analysis and documentation** of the profile of the party involved for AML purposes, in a manner that is:

- **Structured and consistent,**
- **Compliant with current regulations,** and
- Aligned with the client's applicable **internal procedures.**

Contents of the report

The report includes, among others, the following sections:

- **Identification** of the party involved.
- **Ownership structure** and identification of the **Ultimate Beneficial Owner (UBO).**
- **Risk assessment** of the stakeholder in accordance with the client's internal regulations and procedures.
- **Analysis of the economic logic** of the transaction.
- Review of the **sufficiency, coherence and consistency** of the documentation provided.
- **Search and analysis of sanctions lists, PEPs** and other relevant lists.
- Review of **adverse news** and analysis of possible **reputational risks.**

Final result

The service concludes with an **AML Report** that:

- Documents the analysis carried out in a **clear, complete and traceable** manner.
- Can be **presented to financial institutions or other third parties** that require it.
- Serves as **formal support** for the internal AML analysis in the context of the transaction.
- Provides **greater security, traceability and documentary** support in corporate and investment transactions (including collective investment).

If you are considering a corporate or investment transaction and want to reinforce the AML analysis before signing, at Seegman we can help you structure and document the process with clear criteria and traceability. Contact us for an initial conversation and we will indicate the scope and necessary documentation.

This brochure is of an advertising and informative nature. For the provision of professional legal advice you should contact the firm directly through the specialized contacts.

Seegman refers to the company Seegman Servicios Jurídicos, S.L.P., with tax identification number B88144852.

MADRID
Paseo de la Castellana 53, 28046.
+34 91 080 09 29
firstcontact@seegman.com
www.seegman.com

LISBOA
Avenida da Liberdade, 67-B 2ºA. 1250-140
+351 213472251
firstcontact@seegman.com
www.seegman.com